



IFU/2162\$

PATENT  
Customer No. 22,852  
Attorney Docket No. 06502.0054-01000

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of: )  
)  
Ann M. WOLLRATH et al. ) Group Art Unit: 2162  
)  
Application No.: 10/015,801 ✓ ) Examiner: Jean B. Fleurantin  
)  
Filed: December 17, 2001 )  
) Confirmation No. 1256  
For: METHOD AND APPARATUS FOR )  
TRANSPORTING BEHAVIOR IN )  
AN EVENT-BASED DISTRIBUTED )  
SYSTEM )

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

**INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97(c)**

Pursuant to 37 C.F.R. §§ 1.56 and 1.97(c), Applicants bring to the attention of the Examiner the documents on the attached listing. This Information Disclosure Statement is being filed after the events recited in Section 1.97(b) but, to the undersigned's knowledge, before the mailing date of either a Final action, Quayle action, or a Notice of Allowance. Under the provisions of 37 C.F.R. § 1.97(c), this Information Disclosure Statement is accompanied by a fee of \$180.00 as specified by Section 1.17(p).

Copies of the listed foreign and non-patent literature documents are attached.

Copies of the U.S. patent publications are not enclosed.

03/31/2006 HALI11 00000090 10015801

01 FC:1806

180.00 OP

Applicants respectfully request that the Examiner consider the listed documents and indicate that they were considered by making appropriate notations on the attached form.

This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that each or all of the listed documents are material or constitute "prior art." If the Examiner applies any of the documents as prior art against any claims in the application and Applicants determine that the cited documents do not constitute "prior art" under United States law, Applicants reserve the right to present to the Office the relevant facts and law regarding the appropriate status of such documents.

Applicants further reserve the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents, should one or more of the documents be applied against the claims of the present application.

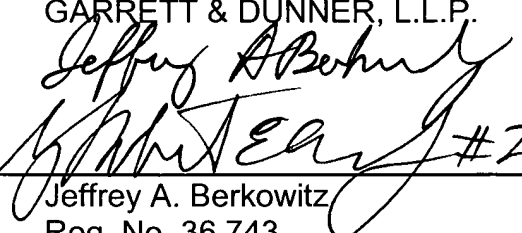
If there is any fee due in connection with the filing of this Statement, please charge the fee to our Deposit Account No. 06-0916.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW,  
GARRETT & DUNNER, L.L.P.

Dated: March 29, 2006

By:

  
Jeffrey A. Berkowitz  
Reg. No. 36,743

#27,432

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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of

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Complete if Known

Application Number	10/015,801
Filing Date	December 17, 2001
First Named Inventor	Ann M. WOLLRATH
Art Unit	2172
Examiner Name	J. FLEURANTIN
Attorney Docket Number	06502.0054-01000

## U.S. PATENTS AND PUBLISHED U.S. PATENT APPLICATIONS

Examiner Initials	Cite No.	Document Number	Issue or Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code (if known)			
		3,449,669	6/10/1969	Granqvist	
		4,800,488	1/24/1989	Agrawal et al.	
		4,819,233	4/4/1989	Delucia et al.	
		5,339,430	8/16/1994	Lundin et al.	
		5,341,477	8/23/1994	Pitkin et al.	
		5,491,791	2/13/1996	Glowny et al.	
		5,674,982	6/9/1998	Madduri	
		5,682,534	10/28/1997	Kapoor et al.	
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		6,104,716	8/15/2000	Crichton et al.	
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		Number-Kind Code (if known)			
		6,157,960	12/5/2000	Kaminsky et al.	
		6,189,046	2/13/2001	Moore et al.	
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			<i>Art Unit</i>	2172	
			<i>Examiner Name</i>	J. FLEURANTIN	
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Examiner Initials	Cite No.	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	Translation
		Country Code Number Kind Code (if known)				
		WO01/13228 A2	2/22/2001	PCT		
		WO01/86394 A2	11/15/2001	PCT		
		WO01/90903 A1	11/29/2001	PCT		
		WO99/17194	4/8/1999	PCT		

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	Translation
		"Dynamic code downloading using RMI", <a href="http://java.sun.com/j2se/1.4.2/docs/guide/mi/codebase.html">http://java.sun.com/j2se/1.4.2/docs/guide/mi/codebase.html</a> , 2003	
		"Passing Proxies as Parameters to Methods and Return Values from Methods", IBM Technical Disclosure Bulletin, vol. 41, no. 1, Jan. 1998, pp. 89-92	
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